

The efficacy of anti-CD19 chimeric antigen receptor T cells for B-cell malignancies

JUN-XIA CAO, WEI-JIAN GAO, JIA YOU, LI-HUA WU, JIN-LONG LIU & ZHENG-XU WANG

Biotherapy Center, The Seventh Medical Center of PLA General Hospital, Beijing, China

Abstract

Immunotherapy with chimeric antigen receptor T (CAR-T) cells has proved remarkably effective in recently published clinical trials. In this meta-analysis, we performed a systematic review in terms of the clinical response treated with CAR-T cells in acute lymphoblastic leukemia (ALL), chronic lymphocytic leukemia (CLL) and lymphomas patients. Thirty-eight published clinical studies including 665 patients were eligible for response rate (RR) evaluation. The overall pooled RR of CD19-CAR-T cells was 72% (95% confidence interval: 62–77%). The various clinical parameters were analyzed. RR was 81% in ALL, 68% in lymphoma and 70% in CLL. RR in patients who received interleukin (IL)-2 was 70%, whereas in those who did not receive IL-2, it was 74%. RR was 75% with lymphodepletion and 56% without lymphodepletion. RR with autologous cells was 76% and 57% with allogeneic cells. In conclusion, this meta-analysis showed a high clinical RR of CD19-CAR-T cell-based immunotherapy in patients with refractory B-cell malignancies.

Key Words: *CAR-T, acute lymphocytic leukemia, chronic lymphocytic leukemia, lymphomas, meta-analysis*

Introduction

The adoptive transfer of T cells engineered to express artificial chimeric antigen receptors (CARs) that target a tumor cell surface molecule has emerged as an exciting new approach for cancer immunotherapy, with successful reports first published in 2011, showing the remarkable efficacy of CAR-T cells in treating hematological malignancies [1]. Clinical trials in patients with advanced B-cell malignancies treated with CD19-specific CAR-T cells have shown impressive antitumor efficacy [2]. The target CD19, which has expression limited to mature B cells rather than other hematopoietic cells or non-hematopoietic tissues, is the most well-studied and successful CAR [3,4]. In first generation CARs, the T-cell signaling domain comprised an intracellular portion of the CD3 ζ subunit. By contrast, the second and later generations of CARs incorporate two types of T-cell signalling domains: co-stimulatory domains and a T cell activation domain, derived from CD28 (CD28/CD3 ζ or 28z) or 4-1BB (4-1BB/CD3 ζ or BBz) [5]. It was demonstrated anti-CD19 CAR-T cells become a new standard of care for patients with chemotherapy-refractory ALL and lymphoma.

The first two CAR-T-cell products, Yescarta from Kite Pharma/Gilead and Kymriah from Novartis, were approved by the U.S. Food and Drug Administration (FDA) in 2017, with prominent efficacy results [5–7]. The FDA approved tisagenlecleucel (named KYMRIAH, CTL019) with CD28/CD3 ζ on August 30, 2017. On the basis of clinical studies, 75 patients received an infusion of tisagenlecleucel, and the overall remission rate within 3 months was 81% [5,6]. The FDA then authorized axicabtagene ciloleucel (Yescarta, KTE-C19) with 4-1BB/CD3 ζ on October 18, 2017. In this multicenter, phase 2 trial, Neelapu SS and colleagues enrolled 111 patients with large B-cell lymphoma. Eighty-three (82%) of 101 patients included in the intention-to-treat analyses had an objective response, with 55 (54%) patients achieving a complete response (CR) and 28 (28%) patients a partial response (PR) [5,7]. The CD19 CAR-T product JCAR017 from Celgene (originally developed by Juno) is also in the advanced development phase, and the first clinical results from the TRANSCEND NHL 001 were presented in 2017, with 91 patients treated and evaluable for safety and 88 for efficacy [8].

Correspondence: **Zheng-Xu Wang**, PhD, Biotherapy Center, The Seventh Medical Center of PLA General Hospital, No. 5 Nan Men Cang Rd, Dongcheng District, Beijing, 100700, China. E-mails: zhuxuwang@qq.com, zhxuwang18@hotmail.com

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Thus far, more than 10 meta-analyses have been published to evaluate the efficacy and the safety of CD19 CAR-T immunotherapy. Early in 2013, 29 patients were enrolled in a systematic review of phase I clinical trials, the 6-month progression free survival (PFS) for this cohort was $50.0 \pm 9.9\%$ [9]. Next, six trials involving 50 patients were analyzed in another systematic review in 2015 [10]. The results demonstrated that the overall response rate (RR) was 48% (CR 24%), and 6-month and 1-year PFS were 43% and 27%, respectively. Soon after, 14 clinical trials including 119 patients were eligible for evaluation [11]. In this analysis, the overall pooled RR of CD19-CAR-T cells was 73% and 93% in ALL patients and 36% in lymphoma patients. In 2017, a systematic review and meta-analysis including anti-CD19 and anti-CD20 CAR-modified T cells were involved in 16 studies with 195 patients [12]. The pooled analysis showed an overall RR of 61%. In another meta-analysis, 19 published clinical studies with total of 391 patients were included [13]. The pooled rate of complete remission (CR) was 55%, and the pooled rate of partial remission (PR) was 25%. In 2018, the incidence of severe cytokine release syndrome was analyzed in 19 clinical trials included 313 patients [14]. The pooled severe cytokine release syndrome proportion was 29.3% in B-cell (B-)ALL, 38.8% in B-CLL and 19.8% in B-NHL. As noted, although the clinical benefit varies greatly among trials, the overall efficacy of CAR-T cell is significant, leading to optimism that this approach will be useful in treating tumors.

Some key questions remain regarding the efficacy and safety, including the design of CARs and efficiency of gene transfer, the persistence of CAR-T cells and their cytotoxicity, the optimization of preconditioning and cytokine supplement, and the mitigation of toxicity. Furthermore, along with the drug approval, larger clinical trials are gradually expanding, and data are increasing [15,16]. To attempt to answer these questions, we performed a meta-analysis to systematically evaluate the outcomes of 38 completed CD19-targeted CAR-T clinical trials including 665 patients, which represents the largest number of patients CAR-T meta-analysis to date.

Methods

Literature search and inclusion and exclusion criteria

The trials analyzed in the present study were identified through an electronic search of the PubMed database, the Cochrane Central Registry of Controlled Trials and ClinicalTrials.gov. The search strategy included the medical subject headings “chimeric antigen receptor” and “CD19,” as well as free text searches. We also searched the reference lists of published trials and the relevant review articles. Two authors identified articles eligible for further review by screening titles and abstracts. When a study was

considered relevant, the article was reviewed thoroughly. In addition, bibliographic references of identified articles were reviewed to find articles of interest not indexed by the electronic research.

No language limitations were imposed. The initial search was performed in November 2013 and was updated in August 2018. Manual searches were based on the reference lists and conference proceedings of the American Society of Clinical Oncology Annual Meetings and the European Cancer Conference. We excluded abstracts that were never subsequently published as full papers and studies performed on animals and cell lines.

Study selection and data extraction

The list of references of each eligible article was manually evaluated for relevance to the review topic. The selected publications were independently assessed by two authors, and any discrepancies in the interpretation of the findings were discussed and resolved by consensus of both the authors.

We collected information including authors' names, journal and year of publication, sample size per arm, regimen used, median or mean age of the patients, culture of cells, origin type, dosage and clinical response for all of the trials in the present study. Two authors independently screened the data.

Definition of outcome measures

The primary outcomes were CR/PR and CR/PR. Patients with response to CAR-T cells immunotherapy were divided to two groups: positive response group (patients achieved CR and PR), and negative response group, which patients achieved stable disease and progress disease. The RR was calculated as the percentage of all patients (positive and negative combined) achieving a CR or PR.

Statistical analysis

We performed a meta-analysis using Comprehensive Meta-analysis 2.0. Weighted hazard ratio (HR) with 95% confidence intervals (CIs) was calculated for each outcome. Pooled RR were calculated using fixed- and random-effects models depending on the heterogeneity across the included studies. The heterogeneity was assessed using I^2 values. Generally, I^2 values of 25% represent low heterogeneity, and I^2 values of 50% and 75% are evidence of moderate and high heterogeneity, respectively. When no statistically significant heterogeneity existed, the analysis was calculated with a fixed-effect model; otherwise, a random-effects model was used. P values <0.05 were considered statistically significant. We performed subgroup analysis to assess the

efficacy of CD19 CAR-T-cells with different clinical parameters in ALL, CLL and lymphoma patients. SPSS 11.5 and OpenEpi online software were also used to carry out the data analysis.

Results

Selection of the clinical trials

The electronic search yielded 623 references. After a title and abstract review, 564 publications were excluded for various reasons (258 review articles, 251 *in vitro* experiments, 18 animal models, 37 other studies including systematic review, case reports, comparative studies, editorials). A total of 59 clinical trials were selected as potentially relevant, and their full texts were retrieved for a more detailed assessment. We subsequently excluded 21 of these 59 studies for not providing detailed patient clinical data or details on the therapeutic response. The procedure used to select the clinical trials is shown in Figure 1. Thus, 38 articles reporting clinical trials of CD19 CAR-T immunotherapy were further selected (Table 1). All of the studies were published in English and comprised 665 patients [17–54].

Characteristics of CAR-T cell therapy

After selection, 38 eligible trials with a total of 665 (56.4% male; 43.6% female) patients were included in the present analysis [17–54]. The tumor included were ALL, CLL and B-cell lymphoma. The clinical data for the trials are shown in Table 1. The mean age of the included patients was 43.6 years according to the available data from 8.5 to 68.7. The included trials consisted of patient with the following malignancies: 325 (50.3%) ALL, 53 (8.2%) CLL, 268 (41.5%) lymphomas including DLBCL, MCL, FL, SMZL, PMBCL and TFL (Table 1). The viral vector of CAR was lentiviral in 20 clinical trials and retroviral in 17 studies; only one clinical trial used nonviral sleeping beauty transposon in

the 38 included trials [33]. In addition, one publication evaluating two product manufacturing processes reported no patients with CR (listed on Table 1, but not included in the data analysis) [24].

We defined the outcome endpoints of CR and PR according to the original record in the included clinical trials. Eleven clinical trials reported CR and PR [28,30,35,36,39,42,43,45,51,52,54], in addition another 27 clinical trials reported CRe and PRe [17–29,31,32–34,37,38,40,41,44,46–50,53]. In two clinical trials, only remission was reported [17,22], and we calculated them as CRe and PRe. Complete remission with incomplete count recovery, molecular complete remission, continuous complete remission, second complete remission, minimal residual disease remission and bone marrow remission were also reported in the clinical trials [27,33,38,46], thus we combined them for our analysis. We demonstrate the primary outcomes of stable disease and progressive disease of the included clinical trials in Table 1. Some studies did not report stable or progressive disease, and others reported patients who died in remission, died of disease, were alive with disease, or had no detectable leukemia in the cerebrospinal fluid. Still other studies had no information available or remission/response were not reported or were not performed or evaluable. These are shown in the response categories of Table 1.

Meta-analysis of RR of CD19-CAR-T cell in patients with refractory B-cell malignancies

In this analysis, CR and PR were added as RR of patients to evaluate the efficacy of the CAR-T cell therapy. For all of the 665 included patients of 35 clinical trials in this analysis [17–54], RR of 72% (473/659) was observed with HR of 0.70 (95% CI: 0.62–0.77, $P=0.000$) in patients of B-cell malignancies treated with CAR-T cells [17–54]. There was significant heterogeneity among the studies, with an I^2 of 66, $Q=98$ (Figure 2). The random-effects model was applied. Previous reports have included 14 clinical trials with 131 patients who were also recruited in our analysis [17–30] with results similar to ours [11].

RR in patients with different diseases

To confirm the results of the meta-regression, subgroup analysis was performed. First, we compared the clinical responses among malignancies type (ALL, CLL and lymphoma). For ALL, RR of 81% (223/277) was observed, with an HR of 0.80 (95% CI: 0.68–0.88, $P=0.000$) in 14 clinical trials [25–29,32,35,38,41,46,48,50,52,53]. There was significant heterogeneity among the studies with an I^2 of 64, $Q=36$ (Figure 3). For lymphoma, RR of 68%

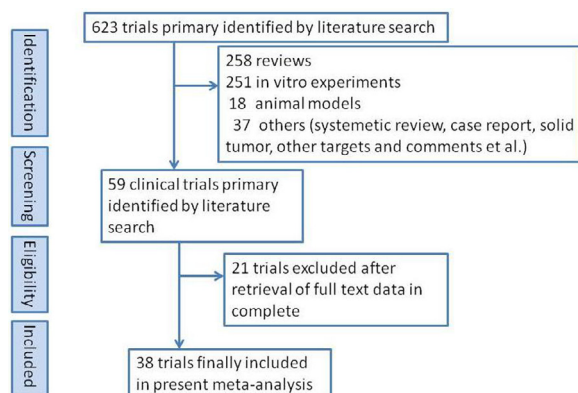


Figure 1. Flow diagram showing the record identification, screening and study inclusion processes.

Table 1. Clinical information from the eligible trials for the meta-analysis.

| Trial reference | Tumor characteristic | No. pts | Age | Sex F (M) | T-cell tx | LD | Origin | Total cells injected ($\times 10^9$) | CAR construct/viral | Study center | Response |
|---------------------------|---|---------|--------|-----------|-----------|-----|-------------------------------|--|--------------------------------------|----------------------------------|--------------------------------|
| Jensen 2010 [17] | Lymphoma | 4 | | | IL-2 | Yes | Auto | $1 \times 10^8 - 2 \times 10^9$ cells/m ² | CD4-CD3z/retro | CH | 2 R, 1 DOD, 1 P |
| Kochenderfer 2015 [18] | CLL(4) + lymphoma | 15 | 51.7 | 8 (7) | IL-2 | Yes | Auto | $(1-5) \times 10^6$ /kg | CD28-CD3z/retro | NIH | 8 CR, 4 PR 1 SD, 2 NA |
| Kochenderfer 2010 [19] | Stage IVb FL | 1 | X + 6 | 1 | IL-2 | LK | Auto | 4×10^8 | MSGV-FMC63-28Z/retro | NCI | 1 PR |
| Kochenderfer 2013 [20] | CLL (4) + DLBCL (2) + MCL (4) | 10 | 52.4 | 8 (2) | IL-2 | No | Allo | $(1-10) \times 10^6$ /kg | scFv-CD28-CD3/retro | NCI | 2 PR 6 SD, 2 PD |
| Kochenderfer 2012 [21] | CLL (4) + FL (3) + SMZL (1) | 8 | 55.8 | | IL-2 | LK | Auto | $(0.3-3) \times 10^7$ /kg | (MSGV)-FMC63-28Z/retro | NCI | 1 CR, 5 PR 1 SD |
| Brentjens 2011 [22] | ALL (2) + CLL (8) | 10 | 63.9 | 8 (2) | IL-2 | LK | Auto | $1.8 \times 10^8 - 3.2 \times 10^9$ /kg | 19-28z/retro | MSKCC | 1 R, 3 NR, 1 NE, 3 SD, 1 PD |
| Kalos 2011 [23] | CLL | 3 | 68.7 | 3 | No IL-2 | Yes | Auto | $1.4 \times 10^7 - 1.1 \times 10^9$ (cells/kg) | CD137 (4-1BB)-CD3-zeta/lenti | ACC | 2 CR, 1 PR |
| Savoldo 2011 [24] | NHL+DLBCL | 6 | 53.3 | 5(1) | IL-2 | No | Auto | | CD19-28z/retro | Center for Cell and Gene Therapy | 0 CR 2 SD, 4 PD |
| Brentjens 2013 [25] | ALL | 5 | 52.4 | 4(1) | No IL-2 | LK | Auto | $1.5-3 \times 10^6$ | CD28/CD3z/retro | MSKCC | 5 CR |
| Grupp 2013 [26] | ALL | 2 | 8.5 | (2) | No IL-2 | Yes | Auto | $1.4 \times 10^6 - 1.2 \times 10^7$ | CTL019/lenti | CHP | 2 CR |
| Davila 2014 [27] | ALL | 16 | 50 | 12 (4) | No IL-2 | LK | Allo | 3×10^6 | 19-28z/retro | MSKCC | 14 CR (88%), 2 NR |
| Lee 2014 [28] | ALL | 21 | 14.7 | 14 (7) | No IL-2 | LK | Auto | 4×10^6 | MSGV-FMC63-28Z/retro | Pediatric Oncology Branch | 14 CR (88%), 3 SD, 4 PD |
| Maude 2014 [29] | ALL | 30 | 29 | 18(12) | No IL-2 | Yes | Auto | $0.76 \times 10^6 - 20.6 \times 10^6$ | CTL019/lenti | CHP | 27 CR (90%) |
| Cruz 2013 [30] | ALL (4) + CLL (4) | 8 | 38.5 | 5 (3) | IL-2 | No | Allo | 1.9×10^7 (2 infusions)- 1×10^8 (1 infusion) | CD19.CAR-VST/retro | Baylor College of Medicine | 3 CR; 1 PR 3 PD; 1 SD |
| Porter 2011 [31] | Stage I CLL | 1 | X + 13 | 1 | No IL-2 | Yes | Auto | 1.5×10^5 | CD137 (4-1BB)-CD3-zeta/lenti | ACC | 1 CR |
| Gardner 2016 [32] | ALL | 7 | 26.5 | (2) | No IL-2 | Yes | Auto | $2 \times 10^6 - 1 \times 10^7$ | FMC63 CD19-CD28-4-1BB and CD3z/lenti | SCRI | 7 CR |
| Partow Kebriaei 2016 [33] | ALL (17) + Nodular HL (1) + FL (3) + DLBL (4) + MCL (1) | 26 | 40 | | IL-2 | No | Auto (n = 7) or Allo (n = 19) | $10^6 - 5 \times 10^9$ | CD19RCD28/SB transposon (nonviral) | MDACC | 16 CR, 2 DIR, 5 DOD, 3 AWD |
| Brudno 2016 [34] | ALL (5) + CLL (5) + DLBCL (5) + MCL (5) | 20 | 48.1 | 11 (9) | No IL-2 | No | Allo | $0.4 \times 10^6 - 7.8 \times 10^6$ | CD28-CD3z/retro | NIH | 6 CR, 2 PR 8 SD; 4 PD |
| | ALL | 9 | 38.9 | 4 (5) | IL-2 | Yes | | | | | |

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Table 1. (Continued)

| Trial reference | Tumor characteristic | No. pts | Age | Sex F (M) | T-cell tx | LD | Origin | Total cells injected ($\times 10^9$) | CAR construct/viral | Study center | Response |
|------------------------------|-----------------------------|---------|------|-----------|-----------|-----|-----------------------|---|--|------------------------------|---------------------------|
| Dai 2015 [35] | | | | | | | Auto or donor-derived | $7.9 \times 10^8(1.0 \times 10^7/\text{kg})-2.2 \times 10^8(3.0 \times 10^6/\text{kg})$ | (HM852952.1) CD19 4-1BB and CD3z/lenti | Chinese PLA General Hospital | 2 CR, 2 MRD, 3 PD, 1 CNS1 |
| Garfall 2015 [36] | (lymphoma) MM | 1 | 48 | (1) | No IL-2 | No | Auto | $1 \times 10^7-5 \times 10^7$ | CTL019/lenti | ACC | 1 CR |
| Joseph A. Fraietta 2016 [37] | CLL | 3 | 62 | 3 | IL-2 | No | Donor | | CTL019 (4-1BB and CD3z) /lenti | UP | 1 CR, 2 PR |
| Cameron J. Turtle 2016 [38] | ALL | 29 | 40 | | IL-2 | Yes | Auto | CD4: $1 \times 10^5-1.16 \times 10^7$ CD8: $3 \times 10^4-1 \times 10^7$ | FMC63 CD19-CD28-4-1BB and CD3z/lenti | FHCRC | 27 BM remission (93%) |
| Bhoj 2016 [39] | ALL (13)+ CLL (2) + FL (1) | 12 | 25 | | IL-2 | Yes | | | CTL019/lenti | UP | 12 CR |
| Porter 2015 [40] | CLL | 14 | 66.9 | 12 (2) | IL-2 | Yes | Auto | $0.14 \times 10^8-11 \times 10^8$ | CTL019/lenti | | 4 CR, 4 PR, 6 NR |
| Hu 2016 [41] | R/R ALL | 15 | <60 | | IL-2 | Yes | Auto | $1.1-9.8 \times 10^6$ CAR-T cells/kg | FMC63 CD19-4-1BB/CD3z/lentiviral | Zhejiang University China | 12 CR, 1 NE, 1 SD, 1 PD |
| Turtle 2016 [42] | Non-Hodgkin lymphoma | 32 | 57 | 27 (32) | IL-2 | Yes | Auto | $2 \times 10^7-8.8 \times 10^6$ CAR-T cells/kg | FMC63 CD19-CD28-4-1BB-CD3z/lenti | FHCRC | 11 CR |
| Wang 2016 [43] | B-cell NHL DLBCL + MCL | 16 | 59.6 | | No IL-2 | Yes | Auto | $25-200 \times 10^6$ CAR-T _{CM} cells | FMC63 CD19-CD3z/CD28/lenti | SCRI | 13 CR, 2 PR, 1 PD |
| Kochenderfer 2017 [44] | DLBCL + MBCL + MCL | 22 | 53 | | IL-2 | Yes | Auto | $1-6 \times 10^6$ CAR-T cells/kg | CD3z/CD28/retro | NCI | 12 CR, 4 PR, 2 SD, 4 PD |
| Locke 2017 [45] | DLBCL (I-IV) | 7 | 52.3 | 5(2) | IL-2 | Yes | Auto | 2×10^6 CAR-T cells/kg | CD3z/CD28(KTE-C19)/retro | Moffitt Cancer Center | 4 CR; 1 PR, 1 SD, 1 NE |
| Y. Chen 2017 [46] | B-ALL | 6 | 26.5 | 1(5) | | Yes | Donor | 1.7×10^8 cells/kg | 4S CAR-T 19/lenti | Peking University | 5 MRD remission |
| Z. Cheng 2018 [47] | B-cell leukemia (ALL + CLL) | 7 | 28.3 | 4(3) | IL-2 | Yes | Auto | 1×10^6 CAR-T cells/kg | 28z/BBz/retro | Henan University | 5 CR, 2 PD |
| Cao 2018 [48] | R/R ALL | 18 | 20.3 | | | Yes | Auto | 1×10^6 humanized CD19 CAR-T cells/kg | 4-1BB/ CD3z/lenti | Xuzhou Medical University | 13 CR, 2 NA, 3 NR |
| Schuster 2017 [49] | Lymphoma | 28 | 58.5 | 18 (10) | | Yes | Auto | Total 1.00×10^8 to 5.00×10^8 CTL019 5.79×10^6 cells/kg | CTL019/lenti | UP | 16 CR |
| Park 2018 [50] | ALL | 53 | 44 | | | Yes | Auto | $0.8 \times 10^3/\text{mm}^3$ | CD3z/CD28/retroviral | MSKCC | 44 CR |
| Neelapu 2017 [51] | Lymphoma | 111 | 57.5 | 68 (43) | IL-2 | Yes | Auto | 2×10^6 CAR-T cells/kg (ZUMA-1, phase 2) | axicabtagene ciloleucel (axi-cel) /KTE-C19/Yescarta (CD28)/retro | MDACC | 57 CR, 28 PR, 11 SD |

(continued on next page)

Table 1. (Continued)

| Trial reference | Tumor characteristic | No. pts | Age (M) | Sex F (M) | T-cell tx | LD | Origin | Total cells injected ($\times 10^6$) | CAR construct/viral | Study center | Response |
|-------------------|----------------------|---------|---------|-----------|-----------|-----|--------|---|--------------------------|---------------------|-------------------------------|
| Wei 2018 [52] | ALL | 23 | 35.8 | 10(13) | | | Donor | 3.0×10^7 to 10.0×10^7 /kg | 4-1BB/CD3 ζ /lenti | Zhejiang University | 8 CR, 3 PR, 12 SD+PD, 40 CR |
| Gardner 2017 [53] | ALL | 43 | 12.2 | 23(22) | IL-2 | Yes | Auto | $0.5-1 \times 10^6$ CAR-T cells/kg | CD3 ζ /CD28/lenti | SCRI | 4 CR, 13 PR, 5 PD, 1 SD, 1 ND |
| Turtle 2017 [54] | CLL | 24 | 59.5 | | IL-2 | Yes | Auto | 2×10^5 , 2×10^6 or 2×10^7 CAR-T cells/kg | GD3z/4-1BB/CD28/lenti | FHCRC | 4 CR, 13 PR, 5 PD, 1 SD, 1 ND |

The table summarizes the basic information including disease type, patient age and details of the immunotherapy including the cell type, CAR construct, dosage and response. ACC, Abramson Cancer Center; Allo, allogeneic; Auto, autologous; AWD, alive with disease; BM, bone marrow; CH = City of Hope National Medical Center; CHP = Children's Hospital of Philadelphia; CNS1, no detectable leukemia in the cerebrospinal fluid; CR, complete response or complete remission; DIR, died in remission; DLBCL, diffuse large B-cell lymphoma; DOD, died of disease; FHCRC, Fred Hutchinson Cancer Research Center; FL, follicular lymphoma; LD, lymphodepletion; lenti, lentiviral; LK, leukapheresis; M, male; MCL, mantle cell lymphoma; MDACC, MD Anderson Cancer Center; MM, multiple myeloma; MRD, minimal residual disease; MSKCC, Memorial Sloan-Kettering Cancer Center; NA, not available; NCI, National Cancer Institute; ND, not done; NE, not evaluable; NIH, National Institutes of Health; NR, no remission or no response; P, progression; PD, progressive disease; PMBCL, primary mediastinal (thymic) large B-cell lymphoma; PR, partial response or partial remission; R, remission; retro, retroviral; R/R, relapsed/refractory; SB, sleeping beauty; SCRI = Seattle Children's Research Institute; SD, stable disease; SMZL, splenic marginal zone lymphoma; TFL, transformed follicular lymphoma; tx, treatment; UP, University of Pennsylvania.

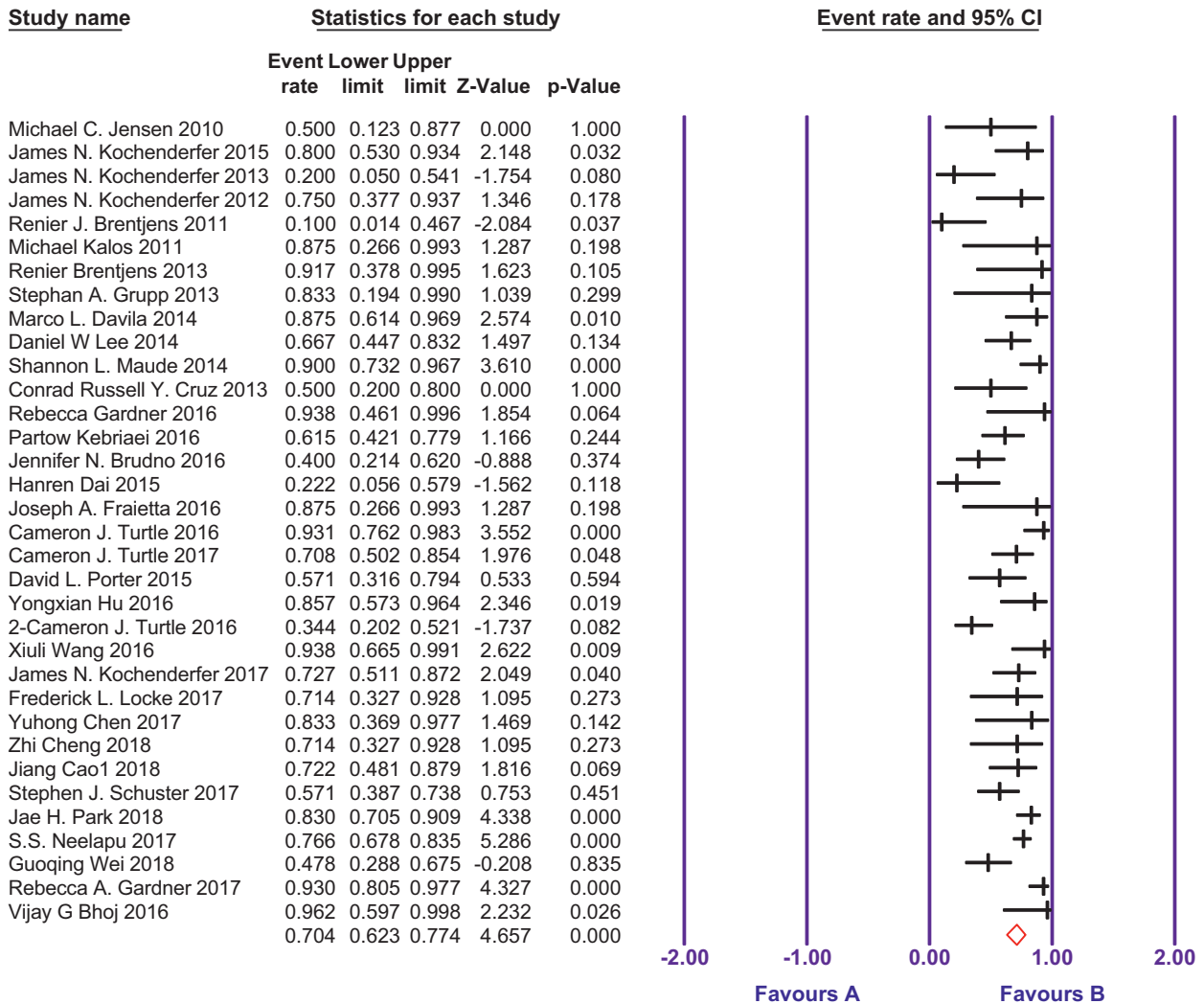
(130/192) was observed, with an HR of 0.64 (95% CI: 0.40–0.82, $P=0.000$) in five clinical trials [7,17,42,43,49]. There was significant heterogeneity among studies with an I^2 of 83, $Q=23$ (Figure 3). For CLL, RR of 70% (31/44) was observed, with an HR of 0.68 (95% CI: 0.53–0.80, $p=0.02$) in four clinical trials [23,37,40,54]. There was no significant heterogeneity among the studies with an I^2 of 0.000, $Q=2$ (Figure 3). The random-effects model was applied. Thus, ALL patients had higher response rate (81%) than CLL patients (70%) and lymphoma patients (68%) (Figure 3).

Meta-analysis of RR in patients with different clinical parameters

Then meta-regression analysis was performed based on CAR-T cell protocols including T-cell origin, interleukin (IL)-2 administration and lymphodepletion before T-cell infusion. For autologous CAR-T cell therapy, RR of 76% (391/515) was observed, with an HR of 0.75 (95% CI: 0.67–0.82, $P=0.000$) in 26 clinical trials [17–19,21–26,28,29,32,38–41,43,45,47–51,53,54]. There was significant heterogeneity among the studies, with an I^2 of 62, $Q=63$ (Figure 4). For allogeneic CAR-T cell therapy, RR of 57% (64/110) was observed with HR of 0.56 (95% CI: 0.36–0.74, $P=0.543$) in seven clinical trials [20,27,30,34,37,46,52]. There was significant heterogeneity among the studies, with an I^2 of 58, $Q=14$ (Figure 4). The random-effects model was applied. Patients who received an autologous regimen had a higher response rate (76%) than patients with an allogeneic regimen (57%), which was significant difference ($P < 0.05$) by chi-square test.

A forest plot analysis was conducted for RR and CIs in patients receiving IL-2 versus no IL-2. For CAR-T cell therapy with IL-2, RR of 70% (287/410) was observed, with an HR of 0.66 (95% CI: 0.55–0.76, $P=0.006$) in 21 clinical trials [17,18,20–22,24,30,33,35,37–42,44,45,47,51,53,54]. There was significant heterogeneity among the studies, with an I^2 of 70, $Q=64$ (Figure 5). For CAR-T cell therapy with no IL-2, RR of 74% (186/250) was observed, with an HR of 0.75 (95% CI: 0.63–0.84, $P=0.000$) in 14 clinical trials [23,25–29,32,34,43,46,48–50,52]. There was significant heterogeneity among the studies, with an I^2 of 60, $Q=33$ (Figure 5). The random-effects model was applied. Patients who did not receive IL-2 had a higher response rate (74%) than those who received IL-2 (70%), but no significant difference was seen by chi-square test ($P > 0.05$).

Forest plots for RR and CIs in patients who underwent or did not undergo lymphodepletion were performed. For CAR-T cell therapy with lymphodepletion, RR of 75% (412/547) was observed, with an HR of 0.75 (95% CI: 0.66–0.82, $P=0.000$) in 27 clinical



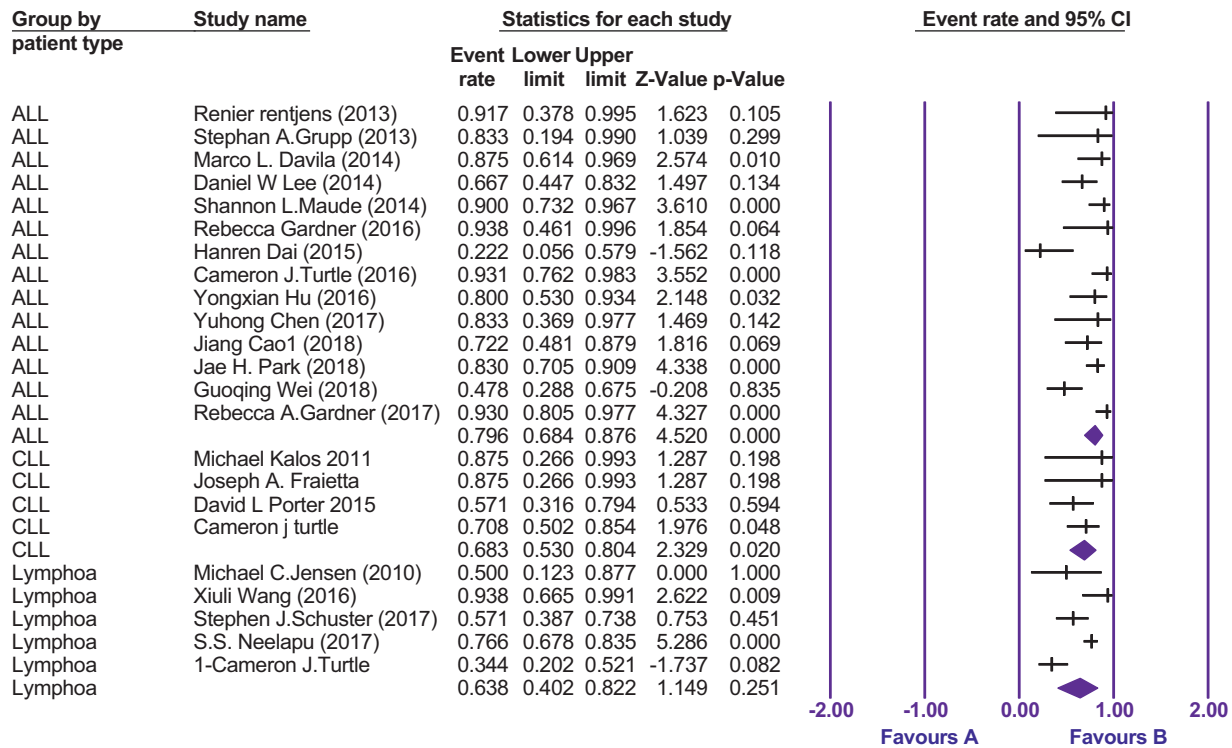
Meta Analysis

Figure 2. Forest plot of RR of CD19-CAR-T cells in patients with refractory B-cell malignancies. A random effects meta-analysis model was used. Each trial is represented as a square, and the odds ratio for each trial is shown in the center. The size of the square is proportional to the information in each trial. The ends of the horizontal bars denote 95% CI. The red diamond shows the overall odds ratio for the combined results of all trials.

trials [17,18,21–29,32,35,38–43,45–51,53,54]. There was significant heterogeneity among studies, with an I^2 of 64, $Q = 73$ (Figure 6). For CAR-T cell therapy without lymphodepletion, RR of 56% (50/90) was observed, with an HR of 0.54 (95% CI: 0.37–0.70, $P = 0.674$) in seven clinical trials [20,24,30,33,34,37,44]. There was significant heterogeneity among the studies with I^2 of 51, $Q = 10$ (Figure 6). Patients who underwent a lymphodepletion regimen had a higher response rate (75%) than patients who did not (56%), a significant difference ($P < 0.05$) by chi-square test.

We checked the viral vector for CAR construct and its impact on outcome by chi-square test.

Lentiviral vector included 316 (233) patients in 20 clinical trials [23,26,29,31,32,35–43,46,48,49, 52–54], and retroviral vector comprised 324 (229) patients in 17 clinical trials [17–22,24,25,27,28, 30,34,44, 45,47,50,51]; there was no significant difference with regard to the effect on CAR-T therapy ($P > 0.05$) by SPSS software. In addition, the costimulatory domain, which included CD28 with 364 (268) patients in 16 clinical trials [18–22,24,25,27,28, 34,43–45,50,51,53] and 4-1BB with 159 (123) patients in 14 clinical trials [23,26,29,31,35–37, 39–41,47–49,52], revealed no association with the effect of CAR-T therapy ($P > 0.05$).



Meta Analysis

Figure 3. Comparison of RR in patients with different diseases. A random effects meta-analysis model was used in the analysis.

Discussion

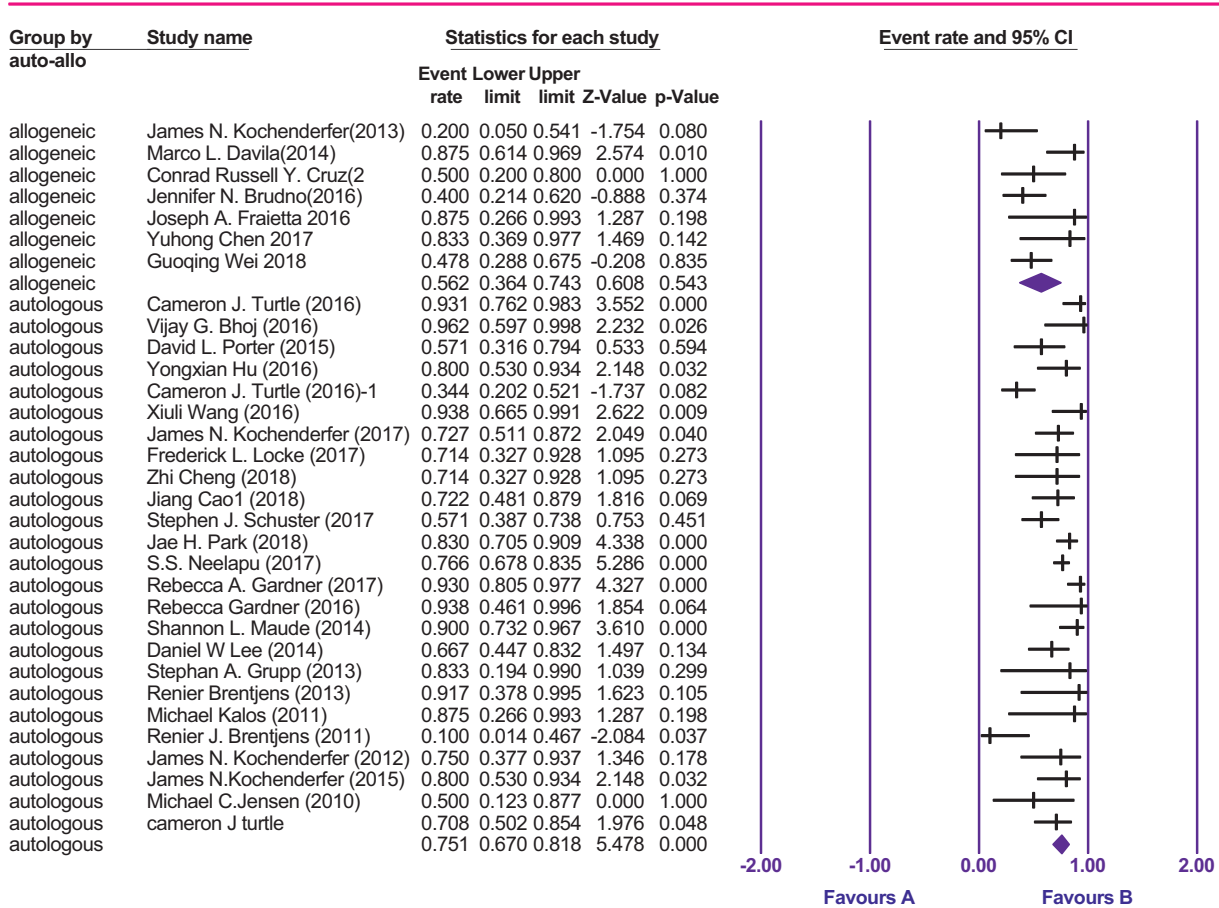
CD19-CAR-expressing T cells have evidenced remarkable activity against B-cell malignancies, especially CLL, indolent NHL and ALL, with significant responses seen even in chemo-refractory disease, and more generalizations have emerged. In this report, a meta-analysis of the most up-to-date clinical data was carried out to evaluate the efficacy of CAR-T therapy in the treatment of ALL, CLL and lymphoma. The overall pooled RR (CR+PR) of CD19-CAR-T cells was 72% (95% CI: 62–77%), which is in agreement with previously published meta-analyses. In one analysis, the pooled CR rate was 55% and PR was 25% [13], whereas another analysis showed an overall response rate of 61% with CR of 42% and PR of 19% [12], and an overall pooled RR of 48% was reported in one study [10]. Thus, most analysis demonstrated that CAR-T therapy is effective in B-cell malignancies, and our analysis also elucidated associations between clinical response and clinical parameters that have not been previously examined in other meta-analysis.

The different diseases type, lymphodepletion, IL-2 administration and the origin of CAR-T cells were separately analyzed as impact factors associated with the clinical response. RR was 80% for ALL, 68% for

lymphoma and 70% for CLL. In a previous report, ALL patients had a higher response rate (93%) than CLL patients (62%) and lymphoma patients (36%), and this included 14 clinical trials that were also part of our analysis [11]. Thus, our analysis obtained similar results as previous studies, and it was known that the clinical data of CTL019 were based on an 81% overall remission rate and KTE-C19 was 82% CR +PR [6,7]. In general, the overall efficacy to date is approximately 80% in the reported clinical trials.

In our analysis, the RR in the patients who received IL-2 was 70%, whereas that for patients who did not receive IL-2 was 74%; thus, there was no significant difference by chi-square test ($P > 0.05$). Serum IL-2 levels (85% vs. 31%, $P = 0.04$) were positively associated with patients' response to CAR-T cells [55]. It should be noted that we did not consider IL-2 administration to cells or patients, which have been subgroup analyzed as prognostic factors for RR in other reports, with no significant difference [55]. In our meta-analysis, we collectively referred to them as with or without IL-2, which would introduce bias into the analysis.

RR was 75% with and 56% without lymphodepletion in our analysis, which was similar to other



Meta Analysis

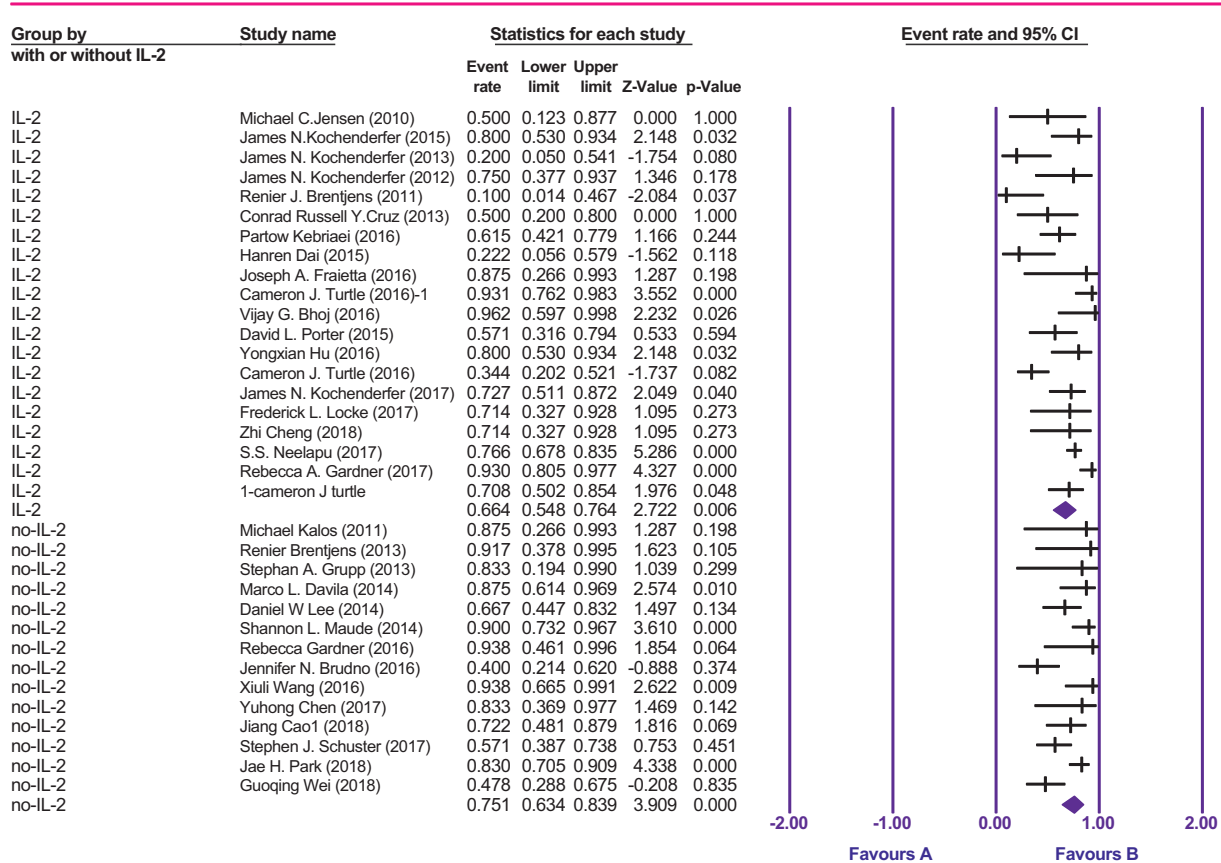
Figure 4. Comparison of RR in patients with the CAR-T cell origin (autologous or allogeneic). The random effects meta-analysis model was used in the present analysis.

reports (72% vs. 44%, $P = 0.0405$) [55]. In our analysis, treatment with or without lymphodepletion showed a significant difference by chi-square test ($P < 0.05$). Extensive evidence from mouse studies indicates that lymphocyte depletion in the recipient enhances activity of the adoptively transferred T cells by causing increased serum levels of cytokines such as IL-15 and possibly by depleting regulatory T-cell numbers [56,57]. Despite some exceptions, it has demonstrated lymphodepletion before CAR-T cell administration may be an important component of this treatment approach [55]. In our analysis, RR with autologous cells was 76% and with allogeneic cells was 57% ($P < 0.05$). There was a significant difference in efficacy with autologous versus allogeneic cells in our analysis; other studies have also reported side effects between donor-derived CAR-T cell versus autologous CAR-T cells [58]. In a future study, we will specifically address questions about such adverse effects.

Given the differences in the integrated costimulatory domain, the optimal method for CAR transfer into T cells (retroviral, lentiviral, or other), bias could occur and affect the association assessment. We analyzed the viral vector for CAR construct and the impact of that on the outcome by chi-square test and found that lentiviral and retroviral vectors did not demonstrate a significant difference in the effect of CAR-T therapy ($P > 0.05$). The costimulatory domain including CD28 and 4-1BB also revealed no association with the effect of CAR-T therapy ($P > 0.05$), which was similar to the results of a prior publication [14].

Limitations

This meta-analysis can help strengthen the case for the expansion of CAR-T therapy, but before drawing inferences from the studies included herein, caution is required because of the variability in the biology of various malignancies that were treated in included



Meta Analysis

Figure 5. Forest plot showing RR in patients with or without IL-2 administration. A random effects meta-analysis model was used.

trials, differences in the type of chemotherapy used, dosage, and different inclusion and exclusion criteria across studies. These important variables must be considered when deriving inferences about efficacy and outcomes of individual trials. Our meta-analysis showed that CD19-CAR-T therapy is effective for the majority of aggressive hematological diseases such as ALL, CLL and lymphoma patients, but it also has certain contraindications.

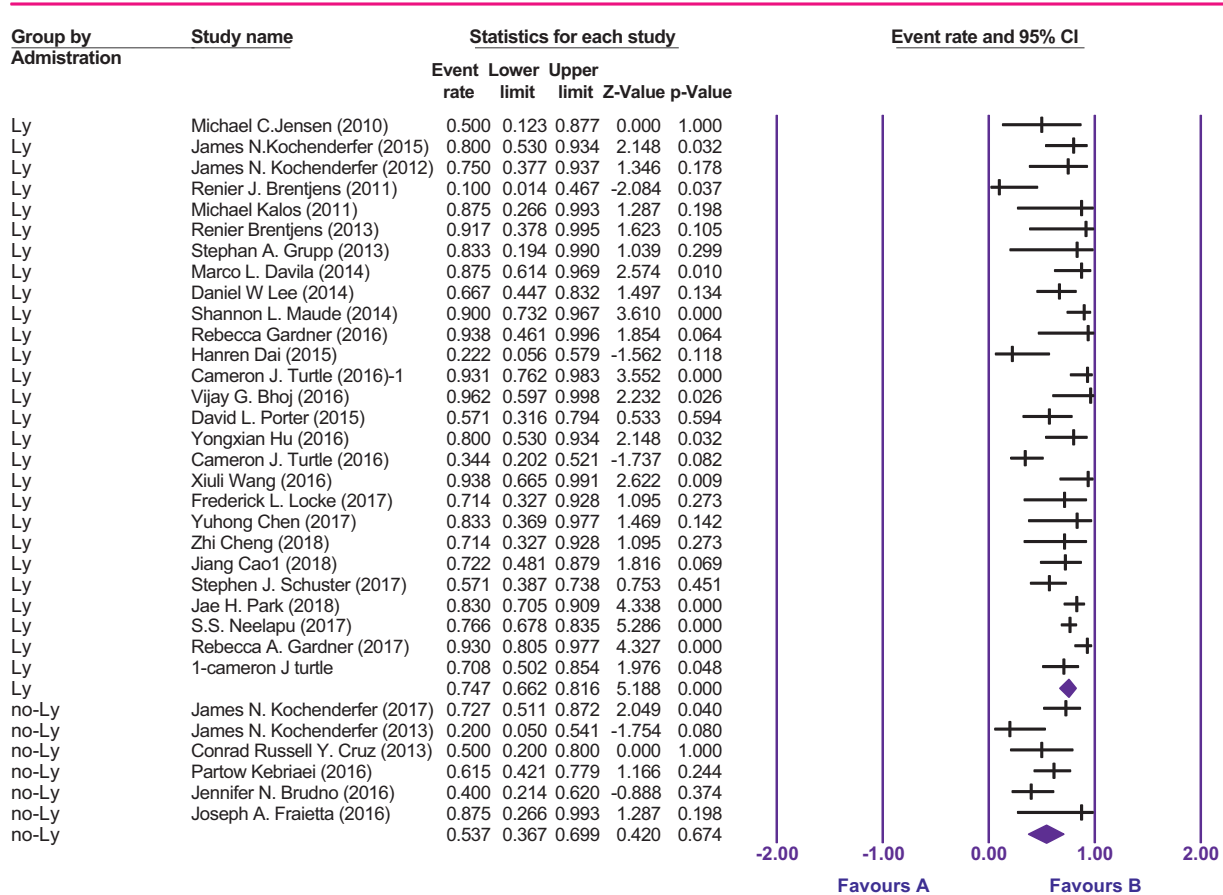
We should point out that heterogeneity among the diseases could contribute to the inaccuracy of regression estimation in the whole population. To address the heterogeneity, we selected a random-effects model in each analysis (Figures 2–6). Furthermore, individual parameters such as patient factors (e.g., age, comorbidity), inclusion and exclusion criteria (e.g., CR and PR) and chemotherapy type could induce bias and influence association assessment and the quality of the meta-analysis.

In summary, clinical data presented by several preclinical research studies in the past several years herald a pioneering technical revolution, in both the management of B-cell malignancy and the

development of CAR-T cell therapy as a new modality of cancer treatment. Our review includes 38 clinical trials and the largest number of patients (665) reported to date compared with the previous published systematic review. Our meta-analysis demonstrated a high clinical response rate of CD19-CAR-T cell-based immunotherapy in patients with refractory B-cell malignancies. This information will be helpful not only to further establish this approach in the treatment of hematological malignancies, but also to facilitate the application of this technology to solid tumor immunotherapy.

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Meta Analysis

Figure 6. Forest plot for RR in patients with or without lymphodepletion before T-cell infusion. The random effects meta-analysis model was used. Ly, lymphodepletion; no-Ly, no lymphodepletion.

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